INVENTEK

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Fax



Patent Application Ser. No.: 09/832,753

Ref./Docket No: OPEN-001

Applicant(s): Topolovac, et al.

Examiner.: Cam Y T Truong

Filing Date: April 10, 2001

Art Unit: 2172

FAX COVER PAGE

TO:

Examiner Cam Y T Truong, Art Unit 2172

United States Patent and Trademark Office

Cc: (by email): John Breene

Fax No.:

571-273-4042

DATE:

January 6, 2005

FROM:

Dov Rosenfeld, Reg. No. 38687

RE:

S/N 09/832,753. Consequence of Misreading of Power of Attorney filed in 2002 for

09/832,753, and request to resolve the problem.

Number of pages including cover:

10.

Hi Examiner Cam Y T Truong,

Attached is a letter outlining what I have learned about this case from what is now in PAIR and from my file on this case.

I am emailing a copy of this to the SPE John Breene so that he has a copy.

Thanks, and be well,

Boy Rosenfeld.

Our Ref./Docket No: OPEN-001

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Topolovac, et al.

Application No.: 09/832,753

Filed: April 10, 2001

Title: SYSTEM AND METHOD FOR

MANAGING DATA IN MULTIPLE BILLS OF

MATERIAL OVER A NETWORK

Group Art Unit: 2172

Examiner: Cam Y T Truong

Dear Examiner Truong:

Here is a list of what has occurred as far as we can tell from looking at the case file and the image file wrapper in PAIR.

- A power of attorney was filed on May 2, 2002 appointing Dov Rosenfeld (Customer Number 21921) as the new attorney of record.
 According to PAIR, this POA was received on May 2, 2002 but <u>misread</u> as Customer Number 21924 not 21921. <u>Consequently all correspondence was sent to the wrong attorney</u>.
- 2) On July 22, 2002, I filed a preliminary amendment. The PTO received the amendment on July 30, 2002; according to the stamped return postcard we received. <u>However it appears that the amendment was never entered</u> causing later problems.
- 3) On October 21, 2002, I filed a second preliminary amendment. I received a fax confirmation from the PTO saying that it had been received. The Examiner stamped the amendment received on Oct. 25, 2002, but, because this amendment referred to claims that were filed with the earlier amendment (see #2 above), the Examiner asserted that only 3 claims were of record, and disregarded the new amendment. This confirms that the amendment filed Jul. 22, 2002 was not entered.
- 4) On March 1, 2003 I filed an IDS and received a postcard confirming receipt by the PTO on Mar. 14, 2003.
- On May 29, 2003 I filed a third preliminary amendment and received a fax confirmation from the PTO.
 Also on May 29, 2003 the Examiner entered Search Notes.
- 6) On June 6, 2003, the Examiner mailed out a non-final Office Action for Claims 1–3. I never received this office action nor knew of its existence. The wrong Customer

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Number was on record and this office action was sent to the attorney(s) customer #21924.

- 7) On January 9, 2004 more Examiner Search Notes were entered.
- 8) On February 3, 2004 the Examiner mailed out a final rejection. <u>I never received this final office action</u> because it was once again sent to the wrong customer number (#21924).

This office action says it is responsive to claims 4–23 suggesting that the preliminary amendment filed on May 29, 2003 was entered, and that the examiner somehow thought that the May 29 preliminary amendment was in response to the later office action of June 6.

9) On September 23, 2004 the Examiner called me asking why no response to the final Office Action had been received by the PTO.

I explained on the phone, then responded in writing on the same day (Sept. 23, 2004; copy attached) that I had never received any office actions, and that I sent in a proper power of attorney in 2002. Clearly the Examiner had my name and number, however I was not the attorney of record so I sent in proof that a power of attorney had been filed on May 2, 2002 but that the USPTO had never entered it. I received a fax confirmation for this letter.

- 10) On December 15, 2004 a Notice of Acceptance of Power of Attorney was mailed by the PTO stating that I was now the attorney of record. The notice stated that the power of attorney was filed on Sept. 23, 2004. However, this date was a mistake because the power of attorney was really filed on May 2, 2002.
- 11) On January 6, 2005 a phone call was made to the PTO explaining that the date of the POA was wrong. I spoke to Denise Lynn Boyd of Technology Center 1700, who said that when her supervisor Leland Watkins of Group 1700 returned on Jan. 10, 2005 she would have him fix the POA to the correct date.
- 12) On January 6, 2005, I phoned you, Examiner Truong. This fax is per your request.
- 13) Today, I am sending you another IDS. Note that this is prior to any office action being mailed to me, the practitioner of record.

Note I am sending a copy of this by email to your SPE.

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I would appreciate you and your Supervisory Primary Examiner helping get the issues for this case resolved.

How to resolve:

Perhaps the following would resolve the issues:

- 1) The power of attorney should be recorded as of the date originally filed.
- 2) The preliminary amendments that were not entered should be properly entered.
- 3) The first office action that was issued on claims no longer of record (because of unentered preliminary amendments) should be rescinded.
- 4) Note that as of this date, no office action on the merits has yet been mailed to me. Therefore, an appropriate first office action should be issued. Given that the latest final office action was issued on the latest set of claims after all the preliminary amendments, perhaps it is appropriate that this be the first, NON-FINAL office action on the merits.

Please let me know what I need do at this end.

Please do not hesitate to call or email me if there are any questions.

Respectfully,

Dov Rosenfeld

Address for correspondence:

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Email:dov@inventek.com



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Art Unit:

FAX COVER PAGE

TO:

Examiner Truong

United States Patent and Trademark Office

Fax No .:

703-746-7464

DATE:

September 23, 2004

FROM:

Dov Rosenfeld, Reg. No. 38687

RE:

Confirmation that power of attorney was sent

Number of pages including cover:

Dear Examiner Truong,

Further to your telephone call today, attached are:

- The power of attorney that I faxed to the U.S. Patent and Trademark Office (USPTO) on April 5, 2002 revoking all previous powers of attorney and assigning me at customer number 21921 as the attorney of record.
- 2) A proper certificate of facsimile transmission for the above-faxed power of attorney
- 3) An automated fax response from the USPTO showing that the fax was indeed received on April 5, 2004.

I do not have any record of receiving any office action on this case. The last communication was the preliminary amendment I sent in May 2003.

There clearly is some error, because:

- The address you cited to me that the office action(s) were sent to is NOT my address.
- You did have my name and number because you telephoned me today.

I look forward to a resolution of this matter.

Respectfully submitted.

Dow Rosenfeld, Reg. No. 38687

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Fax-History Report for Dov Rosenfeld +1-413-638-1280 Apr 05 2002 9:16am 2008 lehend lehend 2009

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Page 001

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ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 702.04 et seq.

Received Cover Page

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